

**ANNEXURE A****Brokers**

| <b>Sl No</b> | <b>Name of Circular/ Guideline / Clarification</b>  | <b>Reference No.</b>               | <b>Date of Issuance</b> |
|--------------|---|------------------------------------|-------------------------|
| 1            | The Principal Officers of Licensed Insurance Brokers  | IRDA/BRO/36/AUG-04                 | 18/Aug/2004             |
| 2            | Remuneration and Remuneration payable to Direct Ins. Broker & Ins. Agent                                      | IRD/CIR/BRO/074/FEB-05             | 22/Feb/2005             |
| 3            | THE PRINCIPAL OFFICERS OF LICENSED INSURANCE BROKERS  | 026/IRDA/INS.BROKERS/OCT05         | 11/Oct/2005             |
| 4            | BROKERS -ON-LINE-FILING OF ANNUAL RETURNS   | 009/IRDA/BRO/MAY06                 | 26/May/2006             |
| 5            | Limits on payment of commission or brokerage on general insurance business                                    | 033/IRDA/BROK-COMM/DEC-06          | 4/Dec/2006              |
| 6            | Insertion of word Insurance Broker/Brokers/Broking in the name of Company                                     | 011/IRDA/CIR/BRO/MAY-07            | 21/May/2007             |
| 7            | Limits on payment of commission or brokerage on general insurance business with effect from 1 st October 2008 | 011/IRDA/BROK-COMM/AUG-08          | 25/Aug/2008             |
| 8            | Claim consultancy by insurance brokers  | IRDA/BRK/CIR/GLD/270/12/2011       | 7/Dec/2011              |
| 9            | Circular regarding Broking Business   | IRDA/DIST/BRK/CIR/MISC/204/10/2013 | 11/Oct/2013             |
| 10           | Furnishing of Office Addresses  | IRDA/BRK/MISC/CIR/143/06/2014      | 18/Jun/2014             |
| 11           | Furnishing of Business figures and remuneration   | IRDA/BRK/MISC/CIR/144/06/2014      | 18/Jun/2014             |
| 12           | Sale of insurance products through online and/or by tele-marketing mode                                       | IRDA/BRK/MISC/CIR/190/08/2014      | 12/Aug/2014             |
| 13           | Filing of returns through Business Analytics Peoject(BAP) - Broker Module                                     | IRDA/BROKER/MISC/CIR/232/10/2014   | 21/Oct/2014             |
| 14           | Appointment of Auditors by the Insurance Brokers  | IRDA/BRK/MISC/CIR/240/10/2014      | 30/Oct/2014             |
| 15           | Filing of returns through Business Analytics Project (BAP)  | IRDA/BROKER/MISC/CIR/276/12/2014   | 17/Dec/2014             |
| 16           | Guidelines on Indian owned and controlled for Insurance Intermediaries  | IRDA/INT/CIR/ORD/208/11/2015       | 20/Nov/2015             |
| 17           | Approach in case of non-compliance of IRDA's (Insurance Broker) Regulations, 2015                             | IRDA/INT/MISC/ORD/014/01/2016      | 15/Jan/2016             |
| 18           | Withdrawal of circular no. IRDA/DIST/BRK/CIR/205/10/2013 dt. 30.10.2013                                       | IRDA/BRK/MISC/CIR/120/05/2017      | 24/May/2017             |
| 19           | Filing of appropriate data in the Business Analytics Project (BAP module)                                     | IRDA/BRK/MISC/CIR/172/07/2017      | 24/Jul/2017             |
| 20           | Brokers regulations 2018 - clarification  | IRDAI/BRK/MISC/CIR/039/02/2018     | 23/Feb/2018             |

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| 21 | Additional time allowed for filing Regulatory Returns to all insurance intermediaries                                 | IRDAI/INT/CIR/MISC/087/04/2020 | 9/Apr/2020  |
| 22 | Additional time allowed for filing Quarterly returns - Q4- 2019-20-Regulatory returns to all insurance intermediaries | IRDAI/INT/CIR/MISC/118/05/2020 | 13/May/2020 |
| 23 | Additional time allowed for filing all returns due on 31st March, 2021  | IRDAI/INT/CIR/MISC/116/04/2021 | 30/Apr/2021 |

| <b>Agency Distribution</b> |  |                                |                         |
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| <b>Sl No</b>               | <b>Name of Circular/ Guideline / Clarification</b>                                   | <b>Reference No.</b>           | <b>Date of Issuance</b> |
| 1                          | Guidelines on Qualifications of Corporate Insurance Executives and Faculty June 2009 | IRDA/AGENTS/ORD/17/JULY/2009   | 3-Jul-09                |
| 2                          | Insurance Qualification of the Corporate Agent                                       | IRDA/ AGENTS/ORD/25/AUG 2009   | 6-Aug-09                |
| 3                          | Transfer of Agency/Corporate Agency Agreement from one Insurer to another            | 31/IRDA/CA/CIR/SEP-09          | 2-Sep-09                |
| 4                          | New Agency Licensing Portal - Clarifications/Instructions                            | IRDA/CAGTS/CIR/LCE/082/05/2010 | 17-May-10               |
| 5                          | Transfer of Corporate Agency from One Insurer to another                             | IRDA/CAGTS/CIR/LCE/092/06/2010 | 7-Jun-10                |
| 6                          | Inspection of Corporate Agents by Insurers   | IRDA/CAGTS/CIR/LCE/093/06/2010 | 7-Jun-10                |
| 7                          | Guidelines on Issue / Renewal of Licence to Corporate Agents                         | IRDA/CAGTS/GTL/LCE/106/06/2010 | 28-Jun-10               |
| 8                          | PAN For Corporate Agents   | IRDA/CAGTS/CIR/LCE/135/08/2010 | 23-Aug-10               |
| 9                          | Guidelines for Individual Agents for Persistency of Life Insurance Policies          | IRDA/CAD/GDL/AGN/016/02/2011   | 11-Feb-11               |
| 10                         | Guidelines Pertaining To Individual Agents   | IRDA/CAD/GDL/AGN/016/02/2011   | 15-Feb-11               |
| 11                         | Guidelines Pertaining To Agents.   | IRDA/CAD/GDL/AGN/052/03/2011   | 25-Mar-11               |
| 12                         | Guidelines Pertaining to Corporate Agents  | IRDA/Life/GDL/AGN/266/12/2011  | 7-Dec-11                |
| 13                         | Guidelines pertaining to Agents.   | IRDA/CAGTS/GDL/028/02/2013     | 18-Feb-13               |
| 14                         | Guidelines pertaining to Archived Agency Licenses.                                   | IRDA/AGTS/CIR/GLD/066/04/2013  | 4-Apr-13                |
| 15                         | Pre-Recruitment Examination of Insurance Agents                                      | IRDA/AGTS/GDL/CIR/108/05/2013  | 30-May-13               |
| 16                         | Transfer of Agency / Corporate Agency License from one insurer to another            | IRDA/CAGTS/CIR/142/07/2013     | 25-Jul-13               |
| 17                         | Guidelines pertaining to Agents.   | IRDA/DIST/GDL/MISC/183/09/2013 | 12-Sep-13               |
| 18                         | Agents Pre-Recruitment Examination - New Syllabus (IC-34)                            | IRDA/AGTS/MISC/CIR/041/01/2014 | 28-Jan-14               |
| 19                         | Agents Pre Recruitment Examination - New Syllabus (IC-33)                            | IRDA/AGTS/CIR/LCE/164/07/2014  | 15-Jul-14               |

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| 20 | Guidelines On Appointment Of Insurance Agents, 2015                                     | IRDA/AGTS/CIR/GLD/046/03/2015     | 17-Mar-15 |
| 21 | Guidelines on Appointment of Insurance Agents 2015                                      | IRDA/AGTS/GDL/CIR/060/04.2015     | 1-Apr-15  |
| 22 | IRDAI (Appointment of Insurance Agents) Guidelines, 2015- Instructions                  | IRDA/AGTS/CIR/GLD/081/04/2015     | 22-Apr-15 |
| 23 | URNs Created before 01st April 2016 in respect of Specified Persons of Corporate Agents | To all CEOs of the insurers       | 18-May-16 |
| 24 | Deferment of Individual Agents & Corporate Agents Exam                                  | IRDAI/Corp Agents/2019-20/03/01   | 20-Mar-20 |
| 25 | Board Approved Policy for payment of Rewards - FY 2020-21 onwards                       | IRDAI/INT/CIR/MISC/ 080/ 04/ 2020 | 3-Apr-20  |
| 26 | Corporate Agency matters of the PSBs that have merged wef 1st April 2020                | IRDAI/CA/CIR/MISC/086/04/2020     | 8-Apr-20  |
| 27 | Allowing IC-38 exams for individual agents through remote proctor on pilot basis        | IRDAI/CAD/CIR/MISC/267/11/2020    | 4-Nov-20  |

| <b>Surveyors</b> |   |                                  |                         |
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| <b>Sl. No.</b>   | <b>Name of Circular/ Guideline / Clarification</b>  | <b>Reference No.</b>             | <b>Date of Issuance</b> |
| 1                | Revised Financial Limits of categorised SLAs  | Nil                              | 24-May-2003             |
| 2                | Loss Survey Limits for Categorized Surveyors  | 026/IRDA/SUR/CIR/DEC-08          | 22-Dec-2008             |
| 3                | Stopage of Professional Services by Surveyors on 7th & 8th December 2009  | IRDA/SUR/MISC/CIR/074/11/2009    | 17-Nov-2009             |
| 4                | Appointment of Surveyors and Loss Assessors   | 27/IRDA/SUR/CIR/AUG10            | 27-Aug-2010             |
| 5                | Integrated Surveyor Licensing Management System   | IRDA/Sur/Misc/Cir/126/06/2012    | 7-Jun-2012              |
| 6                | Extension of time for submission of Views /Comments/Suggestions on Exposure   | IRDA/SUR/MISC/CIR/237/10/2012    | 10-Oct-2012             |
| 7                | Changes mandated in respect of Corporate Surveyors  | IRDA/SUR/AREG/CIR/085/05/2013    | 6-May-2013              |
| 8                | IRDA Surveyor Regulations (Amended), 2013 & Updation of Surveyor Data for ONLINE Licensing System   | IRDA/SUR/AREG/CIR/086/05/2013    | 6-May-2013              |
| 9                | Notice to all Corporate Surveyors   | IRDA/DIST/SUR/CIR/203/10/2013-13 | 10-Oct-2013             |
| 10               | Categorisation And Upgradation Of Surveyors.  | IRDA/SUR/AREG/CIR/087/10/13      | 17-Oct-2013             |
| 11               | Online system for licensing and renewal   | IRDA/SUR/NTC/MISC/269/12/2014    | 23-Jan-2015             |
| 12               | Clarifications on Transitory Provisions under section 64UM(3) read with regulation 27 of The IRDAI (Insurance Surveyors and Loss Assessors) Regulations, 2015 | IRDA/SUR/MISC/CIR/180/09/2016    | 7-Sep-2016              |
| 13               | Contact details of Surveyor Department at Delhi   | IRDA/SUR/CON/MISC/03/2017        | 31-Mar-2017             |
| 14               | Time limit for filing Returns under Regulation 21 of IRDAI (Insurance Surveyors and Loss Assessors) Regulations, 2015   | IRDA/SUR/CIR/MISC/222/09/2017    | 21-Sep-2017             |
| 15               | List of Surveyors and Loss Assessors dated 30/10/2018   | IRDA/SUR/NTC/MISC/193/11/2018    | 5-Dec-2018              |

| <b>IMF / Web Aggregators / MISP</b> |  |                               |                         |
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| <b>S.No.</b>                        | <b>Name of Circular/ Guideline / Clarification</b>   | <b>Reference No.</b>          | <b>Date of Issuance</b> |
| 1                                   | Clarifications on IRDAI (Registration of Insurance Marketing Firm) Regulations, 2015   | IRDA/INT/CIR/IMF/041/03/2016  | 3-Feb-16                |
| 2                                   | Removal of cap on maximum credit hours per day pertaining to training requirements for Principal Officer and Insurance Sales Person(s) of Insurance Marketing Firms. | IRDA/INT/CIR/IMF/119/06/2016  | 14-Jun-16               |
| 3                                   | Procedure to be followed to deal with resignation of Insurance Sales Persons(ISPs) of Insurance Marketing Firms (IMF)  | IRDA/INT/CIR/IMF/122/05/2017  | 26-May-17               |
| 4                                   | Payment of reward under MISP on stand-alone Motor Third Party Insurance  | IRDA/INT/CIR/MISP/142/09/2018 | 9-Apr-18                |
| 5                                   | Clarification on outsourcing activities undertaken by Insurance Web Aggregators and remuneration payable   | IRDAI/INT/CIR/WBA/202/12/2018 | 12-Jun-18               |