**IRDAI FORM 20 AF**

**(Fit and Proper Criteria)**

**(See Regulation 3, 4 & 6)**

**Declaration and Undertaking**

***(****A separate form needs to be submitted by each applicant/ individual SLA/* Promoters, Directors, Managing Partners in case of Corporates*)*

| **S. No.** | **Fit & Proper Criteria** | **Yes/No (If Yes, furnish full details)** |
| --- | --- | --- |
| **a)**  | Have you ever registered or obtained license from any of the regulatory authorities under any law such as SEBI, RBI, IRDAI, PFRDA etc. |  |
| **b)**  | Have you carried on business under any name other than the name stated in this application. |  |
| **c)**  | Have you ever been refused or restricted by any regulatory authority to carry on any business, trade or profession for which a specific license registration or other authorization is required by law. |  |
| **d)**  | Have you been ever censured or disciplined or suspended or refused permission or license or registration by any regulatory authority to carry on any business activity. |  |
| **e)**  | Have you been subject to any investigations or disciplinary proceeding or have been issued warning or reprimand by any regulatory authority. |  |
| **f)**  | Have you been convicted of any offence or subject to any proceedings under any law |  |
| **g)**  | Have you been banned from entry at any profession/occupation at any time. |  |
| **h)** | Details of prosecution, if any, pending or commenced or resulting in conviction in the past for violation of economic laws and regulations. |  |
| **i)**  | Details of criminal prosecution, if any, pending or commenced or resulting in conviction in the past against you. |  |
| **j)**  | Do you attract any of the disqualifications envisaged under Section 164 of the Companies’ Act 2013? |  |
| **k)**  | Have you been subject to any investigation at the instance of Government department or agency? |  |
| **l)**  | Have you at any time been found guilty of violation of rules/regulations/legislative requirements by customs/excise/income tax/foreign exchange/other revenue authorities. |  |
| **m)**  | Have you at any time come to the adverse notice of a regulator such as SEBI, IRDAI, MCA, PFRDA.(Though it shall not be necessary for a candidate to mention in the column about orders and findings made by regulators which have been later on reversed/set aside in toto, it would be necessary to make a mention of the same, in case the reversal/setting aside is on technical reasons like limitation or lack of jurisdiction, etc. and not on merit. If the order of the regulator is temporarily stayed and the appellate/court proceedings are pending, the same also should be mentioned). |  |
| **n)**  | Has any of your group company/associate company/related party been carrying any license / registration issued by the IRDAI. |  |
| **o)**  | Any other explanation/information considered relevant for judging fit and proper criteria. |  |
| **p)** | Any disqualifications under Section 42-D of Insurance Act, 1938 |  |

In case of having an affirmative answer to any of the questions mentioned under the Fit & Proper criteria, details shall be furnished.

**Declaration cum Undertaking**

I hereby declare that I am a fit and proper person and have financial integrity; absence of convictions or civil liabilities involving moral turpitude; appropriate competence, experience and qualification; good reputation and character; efficiency and honesty for carrying out the duties and responsibilities of a licensed surveyor and loss assessor.

I confirm that the above information is, to the best of my knowledge and belief, true and complete. I undertake to keep the Authority fully informed, as soon as possible, of all events, which take place subsequent to issuance of my licence, which are relevant to the information provided above.

Signature:

Name:

Designation with seal (applicable in case of Corporates)

Date: